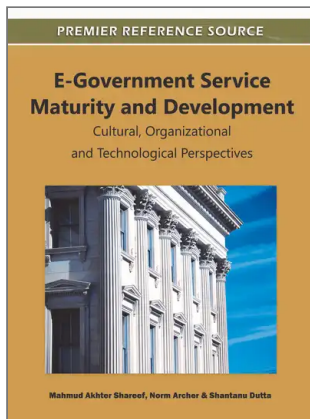


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E-Governance in the Financial Capital Markets: The Canadian Capital Market Regulatory Environment

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Abstract

Studies of corporate governance in the capital markets area have focused on the mechanisms and structures of governance and less on the medium through which it is accomplished. This

medium through which it is accomplished. This chapter examines the use of information and communications technologies (ICTs) as a medium to enhance governance in the capital markets, focusing in particular on the Canadian capital market. Parallels are drawn between the e-governance issues faced by governments and the capital markets. The chapter concludes with a discussion of future directions for e-governance in the capital markets.

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The Canadian Capital Markets And The Regulatory Environment

Canada is characterized by well-developed capital markets as evidenced by the fact that the market capitalization of exchange-listed issuers is high relative to Canada's GDP¹ (Nicholls, 2006). The capital markets consist of a number of individual markets, the most well known are the markets for equities and fixed-income. In Canada, most equities are traded on organized exchanges (i.e., auction markets), primarily the Toronto Stock Exchange (TSX) and the TSX Venture Exchange². In contrast, most fixed-income products are traded in dealer or "over-the-counter" (OTC) markets. According to the World Federation of Exchanges, the TMX Group, with a domestic market capitalization of \$1.7 trillion in 2009, was the eighth largest stock market in the world.

The regulatory system for the capital markets in Canada is ranked as one of the best in the world. In 2009, the Organization for Economic Co-operation and Development (OECD) ranked Canada fifth in the world in investor protection. In

Canada, the capital markets, and the financial industry more generally, are characterized by extensive use of technology, in fact, much of their business is conducted electronically. Further, most of the information required by regulators (to be distributed to the public or provided to the regulators) is disclosed electronically. These factors make Canada a particularly good example to use to examine the use of electronic governance.

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Mahmud Akhter Shareef, Norm Archer

E-government (EG) is an alternative channel to regular brick and mortar government office. This information and communication technology (ICT) driven delivery...

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Different countries adopt Electronic-government (EG) from different perspectives, although they align their mission to develop efficient government sectors...

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This study is an extension of the case studies presented in the previous chapter (Chapter 2) on mission, vision, objectives, and strategies of E-government...

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Integration and interoperability are critical issues for successful development of one-stop portal for the government. This issue involves integration of...

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The emergence of mobile technologies has not only revolutionalized business procedures, but it has also resulted in transformation and reengineering of public...

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XBRL is a language that allows for the electronic communication of business

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information. In XBRL, tags are attached to items of information allowing that...

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Technological innovation is propelling the move in financial markets away from fractional trading and towards decimal trading, as in the example of The New...

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In the recent past, online or Internet based banking has become quite common. Banks have also realized the potential of Internet banking and have recognized...

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The popularity of Open Source Software (OSS) in developing countries is quiet evident from its widespread adoption across government departments and public...

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The transformational role of e-government can be achieved through engagement of the citizens in the e-government rollout and subsequent adoption. The present...

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Ramaraj Palanisamy, Bhasker Mukerji

Government is a unique actor as a provider of online public services to its citizens and enterprises. The e-citizens expect that the e-government services are...

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