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Realization and the metaphysics of mind1

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¹I have had the pleasure of discussing this paper and its ancestors with many people. I am especially grateful to Tom Bontly, José Luis Bermúdez, Carl Craver, Eric Funkhouser, Carl Gillett, John Heil, Jaegwon Kim, Michael Lynch, Brendan O'Sullivan, Larry Shapiro, John Symons, Gene Witmer, and Chase Wrenn. I would also like to thank the anonymous referees for this journal, and audiences for versions of this paper that were presented at the American Philosophical Association, Southern Society for Philosophy and Psychology, the Society for Philosophy and Psychology, the University of Cincinnati, and Washington University in St. Louis. Work on this paper was supported in part by the Charles P. Taft Research Center at the University of Cincinnati.

²I am aware that there have been, over the years, many discussions of realization in the context of explicating one or another particular theory [e.g., Field [1978](#); Heil [1992](#); Tye [1994](#); Chalmers [1994](#); [1996](#)]. However most of these discussions, upon examination, either say nothing at all about realization itself (instead focusing on the question of whether, given system A is a realization or realizer of F, a similar system B is also an F realization or realizer), focus on particular cases only, or else explain realization by analogy with some case that is assumed to be uncontroversial—usually computer programs being realized by machines.

³Of course if RP is correct then it may be that Sally (or some part of her) realizes the belief that it is raining. But that is not what is asserted by (1) on its face. I suppose one might argue that (1) is really asserting that Sally (or some part of her) realizes something that causes her to behave in a certain way. For example, we might say that Sally realizes a certain neural state that would stimulate her to behave in a certain way. But this is not what (1) is asserting. (1) is asserting that Sally realizes something that is realized in her.

⁴There are many ways in which a system can realize something. For example, a system can realize something by having a certain structure, or by having a certain function, or by having a certain causal power. A mother realizing a child to come into being is a realization of a certain causal power. A living tree realizing a chair is a realization of a certain structure. A chair realizing a person sitting on it is a realization of a certain function. A chair realizing a person sitting on it is not usually described as a realization of a certain causal power. Even in that sense it seems

odd to say that the tree 'realizes' the chair. After all, the tree does not compose the chair, even if the chair is composed of (part of) the material which composes or composed the tree. Compare: this large bean bag realizes a chair, or this tree (fallen in the forest) realizes a bench. The beanbag and the fallen tree do seem to be apt to realize chairs or benches in the way that RP understands realization. This kind of realization involves a relation sometimes described as 'playing a role'. The beanbag and the fallen tree play the role of providing seating. The standing tree does not. We might change the tree (by cutting it down) and subsequently it could realize a bench. Or (by sawing it, milling it, and so forth) we could manufacture a chair from some of the material from the tree. These changes are sufficiently radical that we might seriously question whether the tree is still in existence. If not, then it is certain that the tree does not realize the chair in the RP way, for realization is typically supposed to be contemporaneous and nondestructive. Yet even if the tree survives it would be odd to claim that the tree itself realizes a chair. Maybe chairs are realized by trees, but this would at least be a contentious case of realization.

⁵I am grateful to Eric Funkhouser for pressing me to make these features explicit.

⁶For the moment I'll stick with the weaker claim that an account of realization need not endorse examples like (1) and (2). A stronger claim would be that there are some cases of 'realization' that should not be counted by an account of realization that is suitable for RP. In

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does it matter whether the entities that instantiate $F_1 - F_n$ are parts of s so long as they are capable (somehow) of contributing powers to s ?

As a matter of fact, I am willing to grant that when $A_1 - A_n$ compose B , then B gets its powers from $A_1 - A_n$. But, first, that is no explanation of how composition works. And, second, I do not suppose that this relation explains realization. In contrast, Gillett appears to be helping himself to the very relation that he hoped to explain: the relation between the properties of some thing(s) and those of a distinct object whose properties they realize.

⁷I mention this in part because 'instantiate' is sometimes used as a synonym for 'realize' among advocates of RP; also, 'implement' and 'occupy [the role of]' seem to be used interchangeably with 'realize' by many philosophers. For example, Kim writes, 'We are constantly reminded that any mental state, say pain, is capable of "realization", "instantiation", or "implementation" in widely diverse neurological structures' [1992:1].

Because Gillett requires that realization be a relation between property instances, his account is prima facie at odds with talk about the realization of states (e.g., pain), events (e.g., edge detection), processes (e.g., adding), or objects (e.g., carburetors). This is not a problem with Gillett's view on which I will dwell. I am prepared to allow that the difference is only superficial and could be finessed; although I doubt that Gillett himself would welcome the finessing. But it is worth noting that Kim, for example, talks

about the realization of states, events, processes, or objects. Whether he or Shoemaker's view may depart from Gillett's view on this point is another matter.

⁹This example is drawn from Gillett's discussion of the realization of hardness by individual atoms.

¹⁰I take this to be a generalization of Gillett's view on the realization of kinds of objects.

¹¹Kim [1992:1].

¹²There is a tension, between abstract and concrete, between abstract and concrete, between abstract and concrete. I am focusing on abstract.

computational functions are not abstract in the appropriate way, then think of

mathematical functions instead. They, too, are sometimes said to be physically realizable. More on this shortly. (See also n. 18.)

¹³Note that Cummins and Van Gulick each use 'instantiate' as a synonym for 'realize' rather than in Gillett's more restricted way.

¹⁴Versions of this idea are explored by Sellars [[1997](#)], Davidson [[2001a](#); [2001b](#)], Dennett [[1971](#); [1984](#)], and McDowell [[1994](#)], among others.

¹⁵Melnyk [[2003](#)] does not require that realization involve having only a causal function. Lewis [e.g., [1970](#)] regularly discusses causal realization (in particular, regarding theories of mind) but his general account is not limited to realization of causally individuated roles.

¹⁶This is especially attractive if one wants to maintain a hard nosed variety of physicalism. But in the present context it is useful to remember that Putnam was quite clear that non-physical properties could in principle be realizers.

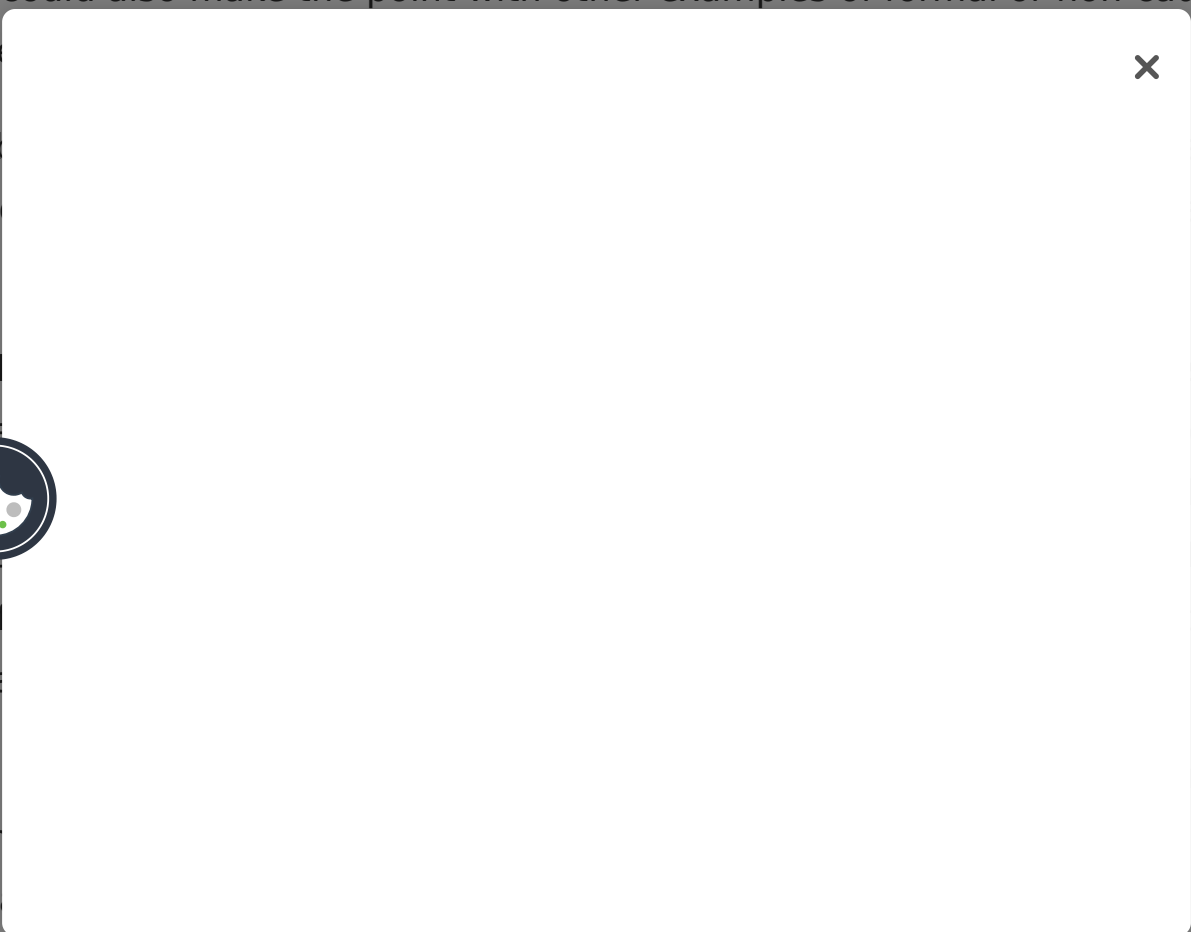
¹⁷See Polger [2004b](#).

¹⁸I will continue to use the computational example because it is salient in philosophy of mind. But if computational relations are not abstract in the relevant sense, then recall that we could also make the point with other examples of formal or non-causal realized properties.

¹⁹This of course is not to say that several different realizers can realize the same property. See also n. 12, and the discussion above.

²⁰As Fodor (1975) notes, states can be carried by different realizers. Fodor models the states with different realizers as states with different realizers. Fodor's formal physical states of the system are physical states of the system.

²¹Gillett's (2000) scientific theorizing is pursuing an empirical program to determine that scientists do speak of 'realization' in their explanations, the lesson of [Section I](#) is that



we cannot assume that the scientific use of 'realization' corresponds to the relation invoked by RP.

²²For this reason, I take issue with Gillett's claim that realization should be understood as a fundamental metaphysical relation.

²³Does a carburetor realize the air-fuel mixer in a car, or do its parts? (Or is the air-fuel mixer's realization overdetermined? Is there a realization exclusion problem?) Let us for now set aside the question of when to invoke the inter-level clause in the dimensioned view.

²⁴We will return to this topic when we consider how Gillett treats cases of multiple realization, in §VI, below.

²⁵This account originates in Polger [[2004a](#)]. A similar account of realization is offered in Melnyk [[2003](#)].

²⁶Following Gillett, I have formulated (R) as a relation among properties or states. Two remarks are in order. First, there is no problem in reformulating (R) in terms of entities, or in terms of entities and properties. This will help us to make sense of the RP practice of talking about the realization of entities, as when we say that a carburetor realizes the air-fuel mixer. (Otherwise we must say that an instance of carburetoriness realizes an instance of air-fuel mixeriness, which is at least awkward.) A related point concerns

Gillett's properties. If F is a property, then F is an entity kind. If G(x) narrows down the entity kind F, then G(x) is a complementing property of F. For example, if F is the kind 'object', then 'being a subject' is a complementing property of F. This is not the case for 'being a subject' and 'being an object'.

²⁷On the other hand, if F is a function, then F is a causal property. If G(x) narrows down the causal property F, then G(x) is a complementing property of F. For example, if F is the kind 'function', then 'being a function' is a complementing property of F. This is not the case for 'being a function' and 'being a causal property'. There is nothing wrong with taking arguments, or taking arguments to be a function, or taking arguments to be a causal property. We have the



function of orbiting one another. (Such an account may make for good explanations even if it does not make for good ontology.)

²⁸To be precise, the individuating function for Millikan's 'proper' functional entities does not involve current causal powers (either of the thing or of its parts), but instead involves the causal history of the thing. The crucial point is that being a heart (realizing an entity of the kind, lion heart, say) has nothing to do with what causal powers an entity currently has—for lion hearts can fail to have the causal powers that are typical of lion hearts (they can be broken) and some things that have those powers ('twin' or 'swamp' lion hearts) can fail to be hearts.

²⁹As Searle puts it: 'For any program there is some sufficiently complex object such that there is some description of the object under which it is implementing the program. Thus for example the wall behind my back is right now implementing the Wordstar program, because there is some pattern of molecule movements which is isomorphic with the formal structure of Wordstar. But if the wall is implementing Wordstar then if it is a big enough wall it is implementing any program, including any program implemented in the brain' [1990: 27].

³⁰In both variations, we will need to help ourselves to an expansive notion of causal function according to which all of a thing's causal powers contribute to its function. Shoemaker [1984] argues that such a functional characterization of all properties can be given

CTP-function. He calls this the 'realized' function. I argue against this. I argue that the gain of making this distinction is that it allows us to

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³⁵For the purposes of evaluating multiple realizability we are concerned with the extensions of kinds, so accidental or in-world coextension may not be enough to settle the question. We may have to know about the distribution of the kinds under certain counterfactual conditions.

³⁶Gillett defends the dimensioned view from the charge that it makes MR trivial by counting any physical differences in realizers as cases of MR. He offers the example of two aluminium corkscrews which differ only in that one contains 'a trace element' that 'does not chemically bond with the aluminum or change the metallic structure of the aluminum atoms, but it does absorb a certain wavelength of light giving this corkscrew a yellow tinge' [2003: 598 - 9]. He rightly concludes that the dimensioned view need not count these as case of MR, for the presence of the trace element is irrelevant to the causal powers that the parts contributes to the corkscrew. But I wonder whether this case only works because this element does not chemically bond with the aluminium, and thus is not a genuine part of the corkscrew but merely an imperfection in it. If the element bonded with the aluminium (creating an aluminium alloy) then would this not be just like the aluminium/steel example, and would the dimensioned view not have to claim that this was a different realization of the corkscrew? I do not see how Gillett can avoid this result.

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