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Original Article

The burden of chronic low back pain with and without a neuropathic component: a healthcare resource use and cost analysis

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ICD-9 codes. Healthcare resources, physical therapy, prescription medication use, and associated costs were assessed for the period January 1–December 31, 2008.

Results:

A number of patients (39,425) were identified with CLBP (90.4% wNP). Patients wNP included more women, were older and more likely to have clinically diagnosed depression, and made significantly greater use of any prescription medication at index event, opioids (particularly schedule II), and healthcare resources. Total direct costs of CLBP-related resource use were ~US\$96 million over a 12-month follow-up. CLBP wNP accounted for 96% of total costs and mean annual cost of care/patient was ~160% higher than CLBP patients woNP (US\$ 2577 vs US\$ 1007, $p < 0.0001$).

Limitations:

This study was descriptive and was not designed to demonstrate causality between diagnosis, treatment, and outcomes. Resource use and costs for reasons other than LBP were not included. Patients with neuropathic pain are more likely to seek treatment; therefore CLBP patients with a non-neuropathic component may be under-represented.

Conclusions:

The disproportionately high share of interventional resource use in CLBP wNP suggests greater use of pain services for patients with CLBP wNP than for patients with CLBP woNP. The range of pain severity and associated outcomes for patients with CLBP wNP is wider than for patients with CLBP woNP.

Keywords:


Chronic pain



Introduction

Chronic low back pain (CLBP) is a patient's most common symptom¹. Many cases are however,

The pathophysiology of back pain is complex and both nociceptive and neuropathic pain-generating mechanisms are thought to be involved. Estimates of the proportion of CLBP patients with a neuropathic component (wNP) range from 17–54% and vary according to the method of classification used^{2–7}. However, given that as many as 28% of patients had an uncertain diagnosis³, the neuropathic component in chronic back pain may yet be under-estimated.



The current study aims to address a need for population-based studies to provide a direct assessment of CLBP wNP and its associated costs in the general population². It examines patterns of healthcare service use and costs for CLBP patients wNP and without a neuropathic component (woNP), in a large US patient commercial insurance claims integrated database with regionally representative coverage.

Methods

Source data

Medical and pharmaceutical claims data were obtained from retrospective analysis of the PharMetrics IMS LifeLink™ Health Plan Claims Database (PharMetrics Inc., Watertown, MA) for the period January 1–December 31, 2008. The database is the largest non-payer-owned integrated claims database of commercial insurers in the US. This de-identified, integrated database includes medical and pharmacy claims for more than 55 million unique members from more than 90 health plans across the US. It includes inpatient and outpatient claims, diagnoses, and procedures, including physiotherapy (based on International Statistical Classification of Diseases, Ninth Revision (ICD-9) and Current Procedural Terminology (CPT-4) codes) as well as retail and mail order pharmacy claims. The database includes claims for medical equipment, however

The data includes information on patient demographics, insurance type, and hospitalization, emergency department visits, and surgery, and prescriptions. Patients were included in the analysis if they were included in the Medicare Agency drug schedule, anti-epileptic drugs, and other



Inclusion criteria were devised to classify patients with CLBP (Figure 1). Patients were included in the analysis if they satisfied all of the following criteria:

- They were aged 18 years or over on January 1, 2008;
- They had continuous enrollment in the database of 36 months; at least 12 months follow-up from the index event (January 1, 2008) and 24 months retrospective data;
- They had an ICD-9 code for diagnosis or treatment of LBP (724.4) during the retrospective period; and
- They had an ICD-9 code for diagnosis or treatment of LBP in at least 3 out of 4 consecutive months during the prospective period in order to establish CLBP.

Patients that satisfied all of the above criteria were classified with CLBP wNP and woNP according to the following definitions:

- CLBP patients wNP (ICD-9 codes primary or supplementary): 720.1, 720.8, 721.0, 721.1, 721.4, 721.8, 721.9, 722.0, 722.1, 722.2, 722.4, 722.5, 722.6, 722.7, 722.8, 722.9, 723.0, 723.1, 723.2, 723.4, 724.0, 724.1, 724.2, 724.3, 724.5, 724.6, 724.8, 724.9, 737.3, 738.5.
- CLBP patients woNP (ICD-9 codes primary or supplementary): 307.89, 720.0, 720.2, 720.9, 724.7, 847.9, 847.1, 847.2, 846.9, 847.1,

Figure 1. Flowchart illustrating the inclusion criteria for patients with low back pain; ICD-9 codes for low back pain; CLBP, chronic low back pain.



Statistical analyses

The primary aims of the analyses were to compare the distribution of medical care and costs for CLBP patients wNP or woNP. All those meeting the patient classification were included in the analysis. The statistical analyses were performed using SAS 9.1 statistical software (SAS Institute Inc., Cary, NC). Chi-squared and t-tests were used to compare proportions and means between groups, respectively. Statistical significance was defined at the level of 5% ($\alpha = 0.05$). PROC GLM (General Linear Model) was used to compare resource use between CLBP patients wNP or woNP. The model controlled for differences in gender, age, and prevalence of clinical depression based on ICD-9 diagnosis.

Results

Patient demographics

A number of patients (225,259) were identified in the PharMetrics database on January 1, 2008, based on the LBP ICD-9 diagnosis definition; 183,929 of these patients had completed at least 24 months of follow-up. Of these patients, 183,929 had at least 24 months of follow-up. The criteria for treatment (opioid treatment) were applied to 183,929 of these patients. Of these patients, 183,929 had an LBP diagnosis.



Table 1. Patient demographics of CLBP patients at the index event.

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There was a higher level of prior exposure to opioids amongst CLBP patients wNP by comparison with those woNP (71.8% vs 56.3%, $p < 0.0001$). The incidence of a comorbid cancer diagnosis amongst CLBP patients was similar regardless of pain type. A higher proportion of CLBP patients wNP had a diagnosis of clinical depression according to ICD-9 code classification compared with CLBP patients woNP (8.1% vs 3.2%, $p < 0.001$). There was no difference between CLBP patients wNP or woNP in the prevalence of cancer diagnosis. A higher proportion of CLBP patients wNP were receiving prescription medication at the index event compared with CLBP patients woNP (75.2% vs 61.8%, $p < 0.0001$). A higher proportion of CLBP patients wNP who were receiving prescription medication were on schedules II, III, and IV drugs compared with those woNP (62.0% vs 47.0%). Amongst CLBP patients on schedules II, III, and IV drugs, those wNP were more likely to be receiving schedule II drugs (which comprises strong opioids) compared with those woNP (39.4% vs 27.4%). Of the CLBP patients woNP on prescription medication at baseline, a large proportion (42.0%) were receiving AD/AEDs

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Table 2. Treatment exposure during 12-month follow-up period by CLBP patients according to pain type.

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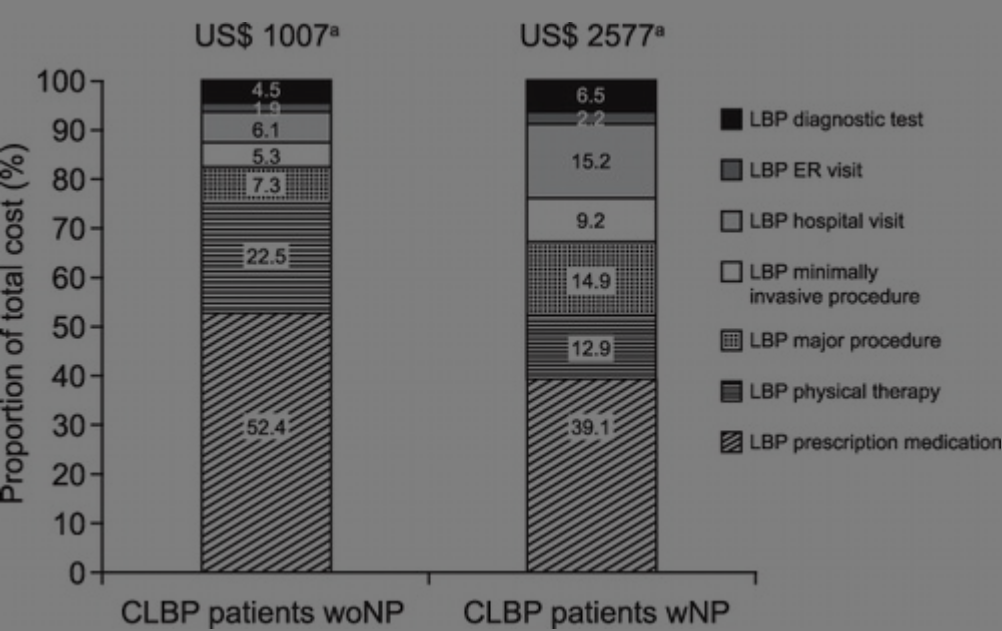
Medical resource utilization for CLBP patients with and without neuropathic components is shown in Table 3. A significantly higher proportion of CLBP patients wNP made use of healthcare resources, including diagnostic tests (24.3% vs 7.1%; $p < 0.0001$), ER visits (9.3% vs 4.9%; $p < 0.0001$), and hospital visits (5.1% vs 1.0%; $p < 0.0001$) compared with CLBP patients woNP. CLBP patients wNP made greater use of minimally invasive procedures (including the use of nerve blocks, spinal puncture, drainage of spinal abscess) (18.3% vs 6.1% [$p < 0.0001$]) and major procedures (such as artificial disc replacement, nerve repair, partial resection of vertebral components) (5.7% vs. 1.1% [$p < 0.0001$]) than CLBP patients woNP.

Table 3. Comparison of resource use for CLBP patients according to pain type during 12-month follow-up period.

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Figure 2. Comparison of proportions of average annual cost of resource use per patient for CLBP patients according to pain type during 12-month follow-up period. ^aAverage annual cost of resource use per patient ($p < 0.0001$, CLBP patients woNP vs CLBP patients wNP). CLBP, chronic low back pain; woNP, without a neuropathic component; wNP, with a neuropathic component; LBP, low back pain; ER, emergency room.



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Discussion

A variety of conditions can cause low back pain (LBP). The most common method of diagnosis is through a physical examination and a review of the patient's medical history. The prevalence of LBP is high, and it is a leading cause of disability. The classification of LBP is based on the duration of the pain and the presence of a neuropathic component. The question of whether LBP is a single condition or a collection of different conditions is still a topic of debate. In this study, we compared the costs of resource use for CLBP patients woNP and CLBP patients wNP. The results show that the average annual cost of resource use per patient for CLBP patients woNP was US\$ 1007, and for CLBP patients wNP was US\$ 2577. The proportion of total cost for each resource use category is shown in Figure 2. The most common resource use category for both groups was LBP prescription medication, which accounted for 52.4% of the total cost for woNP and 39.1% for wNP. Other significant resource use categories include LBP physical therapy, LBP major procedure, LBP minimally invasive procedure, LBP hospital visit, LBP ER visit, and LBP diagnostic test. The results of this study suggest that the average annual cost of resource use per patient for CLBP patients wNP is higher than for CLBP patients woNP. This finding is consistent with previous research, which has shown that CLBP patients wNP have higher costs of resource use than CLBP patients woNP. The reasons for this difference are not clear, but it may be related to the presence of a neuropathic component in the pain. Further research is needed to explore the factors that influence the costs of resource use for CLBP patients.

are more likely to seek treatment, CLBP patients with a non-neuropathic component may be under-represented in this cohort. However, the classification system of CLBP patients wNP used here may be considered more inclusive, since the patient cohort is defined based on claims for one or more ICD-9 codes for a neuropathic component. Consequently, it is not limited to CLBP patients wNP only, and may include patients where other pain components or mechanisms are involved. Freynhagen et al.[3](#) found that, in screening CLBP patients with neuropathic pain components attending orthopedic clinics for treatment, 33.5% of patients displayed a combination of any three or more of the listed signs and symptoms; however, this figure increased considerably (60.7%) when a less restrictive definition of two or more characteristic signs for neuropathic pain were considered.

Schmidt et al.[2](#) suggested that a neuropathic component is substantially more frequent in persons with severe back pain compared to persons with mild back pain. Using the painDETECT questionnaire, the proportion of persons classified as having neuropathic pain differed substantially between pain grades; amongst patients with the lowest pain grade fewer than 10% were assigned with neuropathic pain while nearly half of those in the highest grade pain were classified according to this type². Therefore, the classification system of CLBP used here, requiring patients to experience chronic pain in 3 out of 4 continuous months with either a diagnosis code or treatment for LBP, is relatively stringent and more closely aligned with other clinical definitions of CLBP¹⁵.

By specifying the time period over which the pain is experienced, the study population with more severe pain is more likely to be included in the analysis.

The CLBP classification system used here, requiring patients to experience chronic pain in 3 out of 4 continuous months with either a diagnosis code or treatment for LBP, is relatively stringent and more closely aligned with other clinical definitions of CLBP¹⁵. By specifying the time period over which the pain is experienced, the study population with more severe pain is more likely to be included in the analysis.



Notwithstanding the distinct method of classification, the demographics of the CLBP patient cohort in the current study were similar to the other analyses of this patient type. A relatively higher proportion of female patients with a CLBP diagnosis in the PharMetrics Database (63.8%) were also observed in the National Health Interview Survey (NHIS) (54.4%)[16](#). The mean age of the CLBP patient cohort in the NHIS of 52.7 years was comparable with that reported here (51.0 years). The level of clinical depression (defined by ICD-9 diagnosis code classification) reported for the total CLBP patient cohort was somewhat lower (7.7%) than one other study in which comorbidities in LBP patients were assessed (13.4%)[11](#). However, the latter study uses the RxRisk model, or Chronic Disease Score (a clinically validated algorithm that classifies patients into chronic disease categories based on prescription drug fills) to assess comorbidities[17](#), and may account for the comparatively higher level of clinical depression. The National Comorbidity Survey[18](#) reports a mean 12-month prevalence estimate of major depressive disorder of 6.7% in the general population using the Composite International Diagnostic Interview based on DSM-IV criteria, of which ~56.8% of patients seek treatment in any given year[19](#). Given <60% of patients with depression seek treatment may explain the low prevalence of depression in our cohort. Nevertheless, there is a relatively higher proportion of CLBP patients wNP (8.1%) with depression.



and woNP is scarce. Except for physiotherapy, CLBP patients wNP made significantly greater use of all resources during the follow-up period than patients woNP. The extent of the resource use of this patient cohort suggests that, despite the widespread use of available medications (including schedule II drugs and nerve blocks), their condition appears to remain largely inadequately managed, as indicated by their comparatively heavier reliance on inpatient resources, including ER visits. The difference in resource use between CLBP patient cohorts is most marked in the case of major procedures (relevant to LBP) where an ~5-fold greater proportion of CLBP patients wNP require these interventions compared to those woNP. Moreover, the invasiveness of these major procedures may contribute to the level of hospitalization experienced by the CLBP patients wNP.

The total mean annual cost per patient of US\$ 2426 reported is consistent with other studies of the cost of CLBP-related care. The mean annualized costs, for 16,567 patients identified with LBP from a retrospective analysis of a health maintenance organization patient claims database, was US\$ 2780 per patient in 1999¹¹. Based on a survey of patients with CLBP in primary care in Sweden, the total annual direct costs were estimated at US\$ 2900 (€3100) per patient, in 2002 prices²⁰. Average total back pain costs per patient, based on the direct costs from utilization of healthcare services and indirect costs due to back pain-related production losses, were estimated to be €1322 (US\$ 1639) per year in Germany (at 2005 prices)²¹. Schmidt et al.² calculated the average total cost of CLBP to be €2456 (US\$ 3400) per patient per year, which is 67% higher than the costs reported in this study. While the results of this study, by analyzing the resource use of CLBP patients, contribute to a better understanding of the resource use far beyond the direct costs, which may reflect the true cost of CLBP and help to estimate the range of costs, it remains a challenge to accurately estimate the cost of CLBP patients. However, the cost of CLBP patients is not effectively managed.



outcomes in these patients and hopefully reduce burdens on the resources and budget of healthcare systems.

Limitations

This study was descriptive in nature and was not designed to allow for the demonstration of causality between diagnosis, treatment, and outcomes.

Resource use and associated costs attributed to reasons other than LBP were not included in the analysis. This study captured data for only patients who were actively seeking and receiving medical treatment and, therefore, patients who have been managing their care independently through non-prescription over-the-counter medication may have been excluded. Patients with neuropathic pain are more likely to seek treatment, therefore CLBP patients with a non-neuropathic component may be under-represented in this study. Indirect costs due to lost productivity through sickness absence or early retirement of CLBP patients are not included. The database covers a commercially insured population who are primarily employed, thus CLBP patients who may be unemployed or who have lost employment may be excluded as a result. Similarly, CLBP patients aged over 65 years may be under-represented. Consequently, the overall patient burden reported in this study may under-estimate the full extent of the patient burden.

The classification of CLBP as such the results are likely to be conservative; independent of the database used, patients in the database may not be representative of the general population.

Conclusion

The discrepancy between CLBP suggests greater variability in the range of pain severity and associated outcomes.



Transparency

Declaration of funding

This analysis was supported by Johnson & Johnson Pharmaceutical Services, LLC.

Declaration of financial/other relationships

At the time of conducting the study, all authors were employees of Johnson & Johnson Pharmaceutical Services, LLC.

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Previous presentation

The data has been previously presented at American Pain Society, May 19–21, 2011, Austin, Texas (Poster number 140).



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Back pain in primary care: predictors of high health-care costs

Source: Pain

Identifying Neuropathic Pain Among Patients With Chronic Low-Back Pain

Source: Regional Anesthesia and Pain Medicine

Medication and treatment use in primary care patients with chronic pain of predominantly neuropathic origin

Source: Family Practice

Modelling the prevalence and cost of back pain with neuropathic components in the general population

Source: European Journal of Pain

The Epidemiology of Chronic Pain of Predominantly Neuropathic Origin. Results From a General Population Survey

Source: Journal of Pain

Prognosis for patients with chronic low back pain: inception cohort study

Source: BMJ

Narcotic Drug Use Among Patients with Lower Back Pain in Employer Health Plans: A Retrospective Analysis of Risk Factors and Health Care Services

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